

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James Zucherman et al.Application No./Patent No./Control No.: 10/684,847 Filed/Issue Date: October 14, 2003Entitled: INTERSPINOUS PROCESS APPARATUS AND METHOD WITH A SELECTABLY EXPANDABLE SPACERST. FRANCIS MEDICAL TECHNOLOGIES, INC., a Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: JAMES ZUCHERMAN & KEN Y. HSU To : ST. FRANCIS MEDICAL TECHNOLOGIES, INC.

The document was recorded in the United States Patent and Trademark Office at
Reel 017128, Frame 0462, or for which a copy thereof is attached.

2. From: WINSLOW, CHARLES J. To : ST. FRANCIS MEDICAL TECHNOLOGIES, INC.

The document was recorded in the United States Patent and Trademark Office at
Reel 018544, Frame 0554, or for which a copy thereof is attached.

3. From: _____ To : _____

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Mark D. Barrish/

Signature

June 14, 2007

Date

Mark D. Barrish

Printed or Typed Name

650-326-2400

Telephone Number

Attorney of Record

Title